

Sheet 1(a)

	PROCEEDINGS	Date Order or Judgment Noted
1 2-70	Filed: Complaint	
12-22-70	Filed: Motion for Preliminary Injunction, copies of affidavits attached. (See Plf's Ex. # 1 & 2)	
12-22-70	Filed: Memorandum in Support of Motion for Preliminary Injunction, Appendices attached.	
12-22-70	Entered: Notice of Motion - Hearing set on Jan. 5, 1971, 9:00 a.m., Courtroom No. 2. (Copy delivered to John Kelly, Esq. Atty. for Plf)	
12-22-70	Summons Issued - Delivered to U.S. Marshal with 4 copies each: Complaint, Motion, Memorandum and Notice of Motion.	
12-28-70	M/R - Summons executed in full 12/23/70.	
2-29-70	Subpoena to produce documents issued to U. S. Marshal for service on Mr. Walter C. Johnson, % John M. Kelly, Securities and Exchange Commission, to appear at 9:00 A.M., January 5, 1971, on behalf of the defendants.	
1-4-71	Filed: Answer of defendants, c/s, Exhibits 1 through 8.	
-6-71	Entered: Findings of Fact and Conclusions of Law (Attested copy delivered to Frank King, Esq.; 4 Certified copies delivered to J. Cecil Penland, atty. for plf.	***
-6-71	Entered: Preliminary Injunction pending final determination of action (Att. copy delivered to Frank King, Esq.; 4 certified copies delivered to J. Cecil Penland, atty. for plf.	***
-6-71	Attested copies of Findings of Fact and Conclusions of Law, and of Preliminary Injunction delivered to U. S. Marshal for service upon each defendant.	
1-13-71	Filed: Defendants' Motion that Court Amend its Decree entered January 6, 1971, c/s.	
1-13-71	Filed: Defendants' Brief in Support of Motion, c/s.	
-14-71	M/R - Subp. executed as to Walter C. Johnson xxxxxxx 12-31-70 on behalf of defendants.	
-14-71	M/R - Preliminary Injunction executed as to all defendants.	
1-21-71	Civil Subpoena to produce document issued to U.S. Marshal for Mr. T. Scott Fillebrown, President, 1st American Nat'l Bank. to bring certain documents on January 25, 1971 at 9:00 A.M.	
1-21-71	Application for Appointment of Receiver filed, with Brief attached. Certificate of Service attached. Documents filed by Securities and Exchange Commission.	
1-25-71	Motion to Intervene, with petition to intervene attached, filed by Baptist City Mission Board, Inc., Tampa Bay Baptist Association, First Baptist Church of Douglasville, Kennesaw United Methodist	
	(See Sheet No. 2)	

D. C. 110 Rev. Civil Docket Continuation Sheet No. 2

DATE	PROCEEDINGS	Date Order or Judgment Noted
	Southside Baptist Church of Pine Bluff, and Covenant Presbyterian Church of Albany, Inc. Certificate of service attached.	
1-25-71	M/R - Subp. D/C executed as to T.Scott Fillebrown, Pres. 1st Amer. Natl.Bk. on behalf of plf, Jan. 21, 1971.	
1-27-71	Subpoena to produce documents issued to U.S.Marshall for Mr. T. Scott Fillebrown, Pres. 1st American National Bank, to bring certain documents on February 5, 1971 to U.S.District Court Clerks Office, Room 800 at 9:00 A.M. Subpoena on behalf of plaintiff.	
1-29-71	AGREED ORDER ENTERED:Plaintiff's Motion to Amend P/I Denied; James C. Barbour,Nashville,Tenn. appointed Receiver subject to submission of \$100,000. Bond; Receive shall take immediate custody, under conditions as set forth in Order. Attested copy to attorneys of Record.	Order #1
1-29-71	Filed: \$100,000.00 executed by James C. Barbour, Receiver; United States Fidelity and Guaranty Company, Surety.	
2-1-71	Filed: Petition No. 1 of James C. Barbour, Receiver - re:Counsel	
2-1-71	Entered: Order No. 2 Approving Retention of Attorney by Receiver - W. Ovid Collins, Jr., Esquire to represent Receiver.	#2
2-2-71	Order No. 3: Pursuant to Hearing 1-27-71 on Motion to Intervene filed on behalf of BAPTIST CITY MISSION BOARD, INC.; TAMPA BAY BAPTIST ASSN.; FIRST BAPTIST CHURCH OF DOUGLASVILLE; KENNESAW UNITED METHODIST CHURCH; SOUTHSIDE BAPTIST CHURCH OF PINE BLUFF; and COVENANT PRESBYTERIAN CHURCH OF ALBANY, INC.;.....and Oral Application of FIRST BAPTIST CHURCH, Water Valley, Miss.; WARRINGTON PRESBYTERIAN CHURCH,Warrington, Fla.; FLORISSANT VALLEY CHRISTIAN CHURCH,Florissant,Mo.; FIRST BAPTIST CHURCH, Panama City, Fla.; SANDSTON PRESBYTERIAN CHURCH,Sandston,Va.; and WOODLAWN PRESBYTERIAN CHURCH,B'ham, Ala. , allowed to intervene as PARTIES PLAINTIFF;..... H. Jeremiah Huey, Jr. and Brooks T. Huey, d/b/a HUEY & HUEY, a partnership; CHURCH BUILDING ASSOCIATES, INC., formerly known as Cumberland Industries; GENERAL INSURANCE UNDERWRITERS, INC. (a div.of Guaranty Bond Co.,Inc.); and FIRST AMERICAN NATIONAL BANK OF NASHVILLE; and HUEY BROTHERS JOINT TENANTS added as ADDITIONAL DEFENDANTS;..... Service of Process shall issue as to each additional defendant; First American National Bank of Nashville to show cause why it should not be enjoined. Attested copy mailed to attorneys of record.	#3
2-2-71	Filed: Petition to Intervene as parties plaintiff on behalf of Baptist City Mission Board, Inc., et al (See Order this date)	
2-2-71	Summons Issued - Delivered to U.S.Marshall with attested copy of Order No. 3 and Petition to Intervene for service upon (5) Additional defendants.	
2-5-71	Petition of South Central Bell Telephone Company, with memorandum of law in support thereof. Copy to receiver's attorney.	
2-3-71	M/R - Summons issued 2-2-71 executed as to Additional Defts.(5); - Motion to Intervene and Order #3 attached.	

Sheet 2(a)

DATE	PROCEEDINGS	Date Order or Judgment Noted
-71	M/R-Subp. executed as to Thos.B.Buford,V/P, and T. Scott Fillebrown, Pres., on behalf of Plf.	
2-9-71	Filed: Petition of Raleigh United Methodist Church and St. James United Methodist Church, c/s as to Ovid Collins, Esq.	
2-9-71	Filed: Motion for Speedy Hearing on Petition of Raleigh U.M. and St. James U.S.	
2-9-71	Filed: Petition of Henry Jeremiah Huey, Jr., et al dba for permission to lease and/or sell 35 Vehicles, Exhibit #1.	
2-9-71	Order No. 4: Approving Sale and/or Lease of up to 35 Motor Vehicles. Two Att. copies delivered to Frank King, Esq. - Copy mailed to other attorneys of record.	#4
2-9-71	Filed: Petition of Henry Jeremiah Huey, Jr. - relative to 2nd Mortgage on Real Estate, Exhibits 1 and 2.	
2-9-71	Order No. 5: Permitting Henry Jeremiah Huey, Jr. to join his wife in Placing Second Mortgage on Real Estate proceeds to be applied as directed. Two att. copies delivered to Frank King, Esq. - copy mailed to other attys. of record.	#5
2-9-71	Filed: Motion to Intervene on behalf of SOUTHWEST CHURCH OF CHRIST of JONESBORO, ARKANSAS and CALVARY BAPTIST CHURCH OF KINGSPORT, TENN., INC., Notice of Motion, Certificate of Service attached. (Petition to Intervene, c/s attached).	
2-9-71	Filed: Petition No. 2 of James C. Barbour, Receiver - For Order authorizing liquidation of Bond and Construction Operations; Continuation of General Ins. Underwriters; Segregation of funds, Exhibits 1-a,b,c,d,e,f; 2-a, to 2-b.	
2-9-71	Order No. 6 pursuant to Receiver's Petition No. 2: Receiver's Report and Recommendation accepted and approved; Receiver to submit Plan at earliest practical date for orderly liquidation of Bond and Construction Operations; Gen. Ins. Underwriters to continue; Receiver authorized to enter into new agency agreements as he shall deem necessary. Att. copy to attys. of record.	#6
2-9-71	Petition No. 3 of James C. Barbour, Receiver - Relative to Amounts due employees as shown in petition.	
2-9-71	Order No. 7 pursuant to Receiver's Petition No. 3: Receiver authorized to pay wages as set forth. Att. copy to attys.	#7
2-9-71	Petition No. 4 of Receiver: For authorization to use assets and proceeds from coupons and bonds to meet exigencies of preserving receivership estate.	
2-9-71	Petition No. 5 - Receiver: For Order directing that Bonds surrendered to attorneys, et al (After T/O but prior to appointment of receiver) - as listed, be placed in Escrow pending adjudication of the Court that process issue.	

SECURITIES & EXCHANGE COMMISSION
v. GUARANTY BOND & SECURITIES, ET AL

D. C. 110A Rev. Civil Docket Continuation Sheet No. 3

DATE	PROCEEDINGS	Date Order or Judgment Noted
2-9-71	Answer of James C. Barbour, Receiver, to Petition of South Central Bell Telephone Company,	
* 2-9-71	Entered: <u>MEMORANDUM OF THE COURT</u> - Dated January 27, 1971. Att. copy to attys. of record.	(Memo./ (Ct.
2-11-71	<u>ORDER NO. 8 TO SHOW CAUSE</u> - Defts. named in Receiver's Petition #5 to show cause at hearing Feb.19, 1971, 9:00 a.m. why they should not be required to surrender bonds for deposit in escrow pending further orders of the Court. Attested copy of Order with xerox copy of Petition delivered to U.S.Marshall for service upon each of the ten named defendants.	#8
2-12-71	Filed: <u>Petition No. 6 of James C. Barbour, Receiver</u> - for order authorizing him to borrow up to \$20,000. from Nash.City Bk & Tr. pledging collateral, etc. (copy to SEC)	
2-12-71	<u>ORDER NO. 9:</u> - Receiver authorized to borrow up to \$20,000. pursuant to Petition No. 6. Att. copy to SEC, Ovid Collins, Frank S. King, Jr.	#9
2-16-71	Filed: Petition to Intervene on behalf of First Church of the Nazarene, Nashville, and Princeton Church of the Nazarene, Princeton, Fla., c/s attached.	
2-16-71	Filed: Motion, Petition to Intervene - Calvary Baptist Church of Kingsport, Tennessee, c/s, Notice of Motion.	
2-16-71	Filed: Letter dated Feb. 12, 1971 - George H. Cate counsel for Riverside Hosp., Nashville; Walker Memorial Hosp., Avon Park, Fla.; Northeast Baptist Church, Miami, Fla.; Trevecca Nazarene College, Nashville, Tenn., Bartlett United Methodist Church, Memphis, Tenn. - Combined Bond Involvement exceeds 3 1/4 Million Dollars (Treated as Claim).	
2-17-71	Filed: Petition of C. Aubrey Hearn (former employee), Exhibits A & B Copy mailed to attys. Penland, King and Collins. (Amendment filed 2-18-71)	
2-18-71	Filed: Answer of Defendant - Christian Schools, Inc. (Copy mailed to Attys. Penland, King and Collins)	
2-18-71	Filed: Answer of Deft., Tennessee Baptist Children's Homes, Inc., to Petition No. 5 of Receiver (Copy mailed to attys. Penland, King and Collins)	
2-18-71	Filed: Petition to Intervene on behalf of Lincoya Hills Baptist Church, c/s as to Penland, Collins & King.	
2-18-71	Filed: Answer of Deft., Lincoya Hills Baptist Church to Receiver's Petition #5, c/s.	

Sheet 3(a)

REPRODUCED AT NARA

DATE	PROCEEDINGS	Date Order or Judgment Noted
9-71	Filed: Reply of First American National Bank of Nashville as Custodian for City of Hialeah employees' RETirement System, to Order No. 8 to Show Cause, Robt. Sturdivant, Attorney, c/s as to Ovid Collins; copies mailed to attys. Penland & King.	
2-19-71	(Filed: Intervening Petition First Baptist Church, Shelbyville, Tenn.	
2-19071	(Filed: Answer of First National Bank of Shelbyville, Tenn. to Show Cause Order	
2-19-71	(Filed: Brief in Opposition to Show Cause Order No. 8 by 1st Natl. Bank of Shelbyville, and First Baptist Church of Shelbyville. (Copies of Petition, Answer of 1st Natl. Bank Shelbyville, and Brief delivered to Mr. Collins by Ben Kingree, III, Atty; copies mailed to attys. Penland & King.	
2-19-71	Filed: Petition of Travis Road Baptist Church, Inc., Mobile, Ala, J. Vaulx Crockett Attorney, c/s as to Penland, Collins & King....AMENDMENT filed 3-9-71.	
2-19-71	Filed: Petition of Ralph W. Hovey, former employee, pro se	
2-19-71	Filed: Petition of James F. Bivens, employee, pro se	
2-19-71	Filed: Petition of William J. Towler, Jr., employee, pro se	
2-19-71	Filed: Proof of Claim by Individual on behalf of Miss Mary W. Andrews, 511 Jones, Lakeside Apartment E-4, Old Hickory, Tenn. 37138, Tyler Berry, Attorney.	
2-22-71	Filed: Claim of Mercer L. Fowlkes and wife, Veda M. Fowlkes, Murfreesboro, Tenn. - Ewing Smith, Attorney.	
2-22-71	Filed: Petition to intervene on behalf of Harold G. Meers, Individually, and as Guardian for Carol Ann Meers, Patricia Lynn Meers, and Janet Susan Meers; Harold DeFoe and wife Ruth R. DeFoe; Ralph Colglazier and wife Rebecca Colglazier; Mrs. Tressa S. Hickerson, ... Robert L. Baker (Gracey, Buck, Maddin & Cowan), Attorney; Motion on	
2-22-71	Filed: Petition of Edward E. Ham, Employee, pro se.	
2-22-71	Filed: Petition of Walter N. Roberts, Jr., Employee - pro se.	
2-22-71	Filed: Answer of First American National Bank of Nashville, c/s.	
2-22-71	Filed: Answer of H. Jeremiah Huey, Jr. and Brooks T. Huey d/b/a to Petition to Intervene filed by Baptist City Mission Bd., Inc. et al, c/s as to Ed R. Davies, Atty.; copy mailed to Attys. Collins & Penland.	
2-23-71	Filed: Petition to Intervene on behalf of Holmes Road Church of Christ, c/s as to Ovid Collins, Esq., filed by Taylor, Schlater & Smith, attys.	
2-23-71	Filed: Petition of James Randolph Smith, Employee, pro se.	
2-24-71	Filed: Petition - Harlan F. Reynolds, Employee - pro se	

SECURITIES & EXCHANGE COMMISSION
v. GUARANTY BOND & SECURITIES, ET AL

D. C. 110A Rev. Civil Docket Continuation

Sheet No. 4

REPRODUCED AT NARA

DATE	PROCEEDINGS	Date Order or Judgment Noted
2-24-71	Filed: Petition - Felton Clark, Employee - pro se	
2-24-71	Filed: Petition - Jerry Sherrill, Employee - pro se	
2-24-71	Filed: Petition to Intervene on behalf of William Braughton Ray and Mrs. Frank Haslett Ray, filed by James L. Bomar, Attorney, c/s as to Attys. Collins, Penland & King.	
2-24-71	Filed: Petition to Intervene of George I. Crenshaw and Mary H. Crenshaw, filed by James L. Bomar, Attorney, c/s as to Attys. Collins, Penland & King.	
2-24-71	Filed: Mrs. Madgie L. Utley (Mrs. E. C. Utley) Petition - pro se.	
2-25-71	Filed: Petition to Intervene on behalf of Fred H. Cathey; - Chas. C. Morrow, Attorney, c/s as to attys. Collins, Penland, King and Brad Reed.	
2-25-70	<u>Entered: ORDER NO. 10</u> pursuant to hearing on Receivers Petitions 4 & 5: #10 Receiver to collect proceeds of maturing coupons & bonds (except unsold or consigned) using only such portions as necessary to pay costs & expenses; Respondents named in Petition #5 may retain bonds but make no disposition without approval of Crout and shall deposit maturing coupons and bonds with Nash. City Bank & Trust for collection; Receiver to notify Aetna Ins. Co. of claims under Brokers Blanket Bond and file petition make in company party to cause; Receiver to negotiate with First American National Bank with regard to possible settlement subject to Court's Approval. Att. copy to attorneys of record.	
2-24-70	<u>Entered: MEMORANDUM OF THE COURT</u> - relative to hearing 2-19-71 on Receivers Petitions Nos. 4 and 5. Att. copy to attys. of record.	Memo-Ct.
2-25-71	Filed: Complaint of Intervenor, Mr. and Mrs. Robert F. McGraw; Thos. P. Kanaday, Jr., Attorney, c/s.	
2-25-71	Filed: Claim of Ernest Parks and Wife, Eleanor Parks, Milam, Tenn., John F. Kizer, Atty.	
2-25-71	Filed: Petition of West Nashville Heights Church of Christ, Nashville; - Maynard Holt, Attorney.	
2-25-71	Filed: Petition of Exum D. Watts and wife, Will Neil Watts, c/s - Maynard Holt, Atty.	
2-25-71	Filed: Petition of Henry Jeremiah Huey, Jr., et al, d/b/a relative to sale of real estate, Exhibits attached. Copy to attys. Penland and Collins.	

Sheet 4(a)

DATE	PROCEEDINGS	Date Order or Judgment Noted
2 -71	Entered: Order No. 11 Approving the Sale and/or Lease of Certain Real Estate. Att. copy to attorneys Collins, Penland and Brad Reed, 3 att.copies delivered to Frank King, Jr.	#11
2-26-71	Filed: Petition of Andy Forrest Hardy, Employee.	
2-26-71	Filed: Letter dated Feb. 25, 1971 James W. Higgins, Woodbury, Tenn. to Judge Leland Clure Morton - treated as claim.	
2-26-71	Filed: Petition to Intervene on behalf of Beulah Baptist Church, Alexandria, Wa., F. Clay Bailey, Attorney...Exhibit filed 3/1/71.	
3-1-71	Filed: Claim on behalf of Fred M. Washburn, President of Washburn & Williams Electric Company, Inc. an Ala.Corp. d/b/a Williams Electric Company,...E. C. Herrin, Birmingham, Attorney.	
3-1-71	Filed: Claim of J. F. Stewart, P. O. Box 645, Tullahoma, Tennessee 37388 with listing of Church Bond Numbers (Letter dated February 25.	
3-1-71	Filed: Proof of Claim to Receiver on behalf of J. T. Colvert and Mrs. J. T.(Luna Dale) Colvert,.....Blaine Buchanan, Chattanooga, Atty.	
3-2-71	Filed: Answer of Defendants Harry W. Hargrove and Mrs. Edna E. Hargrove, c/s as to SEC, Washington, D.C. and Receiver James C. Barbour.	
3-2-71	Filed: Claim of Earl C. Brannon and Lena R. Brannon, R#7, Murfreesboro.Richard F. LaRoche, Jr., Atty.	
3-2-71	Filed: Petition of John Hammatt - Employee, Guaranty Bond & Securities	
3-3-71	Filed: Petition on behalf of L. R. Richardson, et ux, individually & as guardians for David L. Richardson and Mare Suzanne Richardson Sawyer; W. L. Algea, Jr., et ux; Miss Mary Browning, c/s, John K. Maddin, Jr. and Robt. L. Baker, Attys.	
3-3-71	Filed: Petition on behalf of The Kings Baptist Church, Taylorsville, Ky. and Southland Baptist Temple, Paducah, Ky., c/s, - Robt. J. Warner, Atty.	
3-3-71	Filed: Intervening Complaint of George F. Hubbert, c/s - Wm. C. Skaggs, Knoxville, Atty.	
3-3-71	Filed: Complaint of Marshall W. Lovell and Eloise G. Lovell, c/s - William Carter Conway, Atty.	
3-3-71	Filed: Petition - Gilbert R. Ballard and wife, Ada L. Ballard, c/s - Samuel L. Swann, Springfield, Atty.	
3-4-71	Filed: Petition - Everett Davis - William A. Parsons, attorney.	
3-4-71	Filed: Petition for Back Pay, David E. Thompson, Employee.	
3-4-71	Filed: Petition of Nashville Computer Service, Inc., c/s, James W.	

SECURITIES & EXCHANGE COMMISSION
vs.

5989

GUARANTY BOND & SECURITIES, ET AL

D. C. 110A Rev. Civil Docket Continuation Sheet No. 5

DATE	PROCEEDINGS	Date Order or Judgment Noted
3-5-71	Filed: Claim of George W. Landrum and Ruth R. Landrum, Dry Ridge, Ky., John Lane Ackman, Attorney.	
3-5-71	Filed: Claim of Edward Hickman and Annabel S. Hickman, Nashville, Tenn., Donald D. Hildebrand, Attorney.	
3-5-71	Filed: Proof of Claim by Individual - R. M. Covington, Leonoir City, Tenn., pro se.	
3-5-71	Filed: Claim - Cincinnati Cordage & Paper Company, Hamilton, Ohio, R. G. Evans, Jr., Treasurer.	
3-8-71	Filed: Petition of Walnut Hill Baptist Church, Petersburg, Va., c/s, Exhibits attached, Robert L. Baker, attorney.	
3-8-71	Filed: Petition of James H. Goodgame, ... Harry F. Barnes, P.O. Box 777, Camden, Arkansas, attorney.	
3-8-71	Filed: Claim of Mrs. Sibyl A. Harrison, 309 North Columbus St., Aberdeen, Miss. - D. W. Houston, Jr., Aberdeen, Attorney.	
3-8-71	Filed: Claim of Carl W. Flynn, So. 3rd St., Louisville, Ky (pro se)	
3-8-71	Filed: Claim of Joe H. Barr, Bay Shore Dr., Hendersonville, Tenn, ... C. B. Thomson (Steltemeier & Westbrook), attorney.	
3-8-71	Filed: Claim of Waverly First Baptist Church, Waverly, Tenn. - Noble Freeman, Jr., Attorney.	
3-8-71	Filed: <u>Petition No. 7 of James C. Barbour, Receiver</u> for order to show cause; Exhibits 1 and 2 attached (proposed order submitted)	
3-8-71	Filed: <u>Petition No. 8 of James C. Barbour, Receiver</u> for order making Aetna Ins. Co. additional party deft (proposed order submitted)	
3-8-71	Filed: <u>Petition No. 9 of James C. Barbour, Receiver</u> for authorization to convey printing and office equipment for cash consideration, Exhibit 1 attached (proposed order submitted)	
3-8-71	Filed: <u>Petition No. 10 of James C. Barbour, Receiver</u> for authorization to make delivery of bonds for which payment has been received; and demand upon purchasers who have not remitted payment (proposed order submitted).	
3-8-71	Filed: Petition to Intervene - Ned L. Warren and Rose L. Warren, Richmond, Kentucky, Wm. H. Lassiter, Jr., Atty., c/s	
3-8-71	Filed: Petition - Norman Culpepper and Kathleen Culpepper, Jackson, Tennessee, Wm. H. Lassiter, Jr., Atty., c/s.	

Sheet 5(a)

FE	PROCEEDINGS	Date Order or Judgment Noted
3- 1	Filed: Complaint - Tenn. Temple College, Chattanooga, Tenn., c/s, Carlos C. Smith, attorney.	
3-9-71	Filed: Claim - Mrs. Lillie W. Ingram, Georgetown, Ky., c/s (pro se)	
3-9-71	Filed: Claim - Mrs. T. E. Campbell, Wm. R. Young, Eddyville, Ky, Atty.	
3-9-71	ORDER NO. 12 ENTERED: pursuant to Receiver's Petition No. 7: Parties to show cause in writing on or before March 18, 1971	#12
3-9-71	ORDER NO. 13 ENTERED pursuant to Receiver's Petition No. 8: Aetna Insurance Co. of Hartford, Conn. to be made party deft; process to issue and be served through its local office 95 White Bridge Road., Nashville, Tennessee (Summons Issued and delivered to U.S. Marshal for service with copy of Complaint filed 12/22/70, and instant order, 3/10/71)	#13
3-9-71	ORDER NO. 14 ENTERED pursuant to Receiver's Petition No. 9: Receiver authorized to convey certain items of printing and office equipment, to Corinne W. Burris for sum of \$2,28591 in cash.	#14
3-9-71	ORDER NO. 15 ENTERED pursuant to Receiver's Petition No. 10: Receiver authorized to complete unconfirmed sales confirmed prior to injunction.	#15
<3-11-71>	Filed: <u>Petition No. 11 of James C. Barbour, Receiver</u> that Order be entered setting Petition for hearing 3/18/71, that Religious Institutional Finance Corp. of America be made additional party deft.	
3-10-71	Filed: Intervening Petition of Mrs. Bessie Cobb, Adams, Tenn., Chas. F. Brown, Attorney	
3-10-71	Filed: Petition on behalf of Rupert B. Ballard, and wife Mabel W. Ballard; Sterling T. Chase; Miss Cornelia Gillenwater; Porter Gordon Trulock & wife, Buena Mae Trulock; and Elizabeth A. Watson, ... Robt. L. Baker, Atty, c/s.	
3-10-71	Filed: Petition of William J. Epperson, Employee (pro se)	
3-11-71	Filed: Petition, Sears, Roebuck and Co. , H. C. Fletcher, Credit Sales Manager.	
3-11-71	Filed: Petition on behalf of Whitsitt Chapel Baptist Church, T. G. Coleman, Jr., Attorney.	
3-11-71	Filed: Petition of James B. Jackson, ... J. G. Lackey, Jr., Atty.	
3-11-71	Filed: Answer of Intervening Defendants, G.P. Carroll and Emmie C. Carroll [Filed: Answer of Intervening Defendants, Warren A. Paul Tyler and Etta Estelle Tyler Homer H. Waldrop, Attorney	

SECURITIES & EXCHANGE COMMISSION
v. GUARANTY BOND & SECURITIES, ET AL

D. C. 110A Rev. Civil Docket Continuation Sheet No. 6

DATE	PROCEEDINGS	Date Order or Judgment Noted
3-11-71	Filed: Petition of Robert L. Wright, Nashville, ...Wm. H. Lassiter, Jr., attorney, c/s	
3-11-71	Filed: Intervening Petition of Rebecca M. Warrenfells, David G. Gray, attorney, c/s	
3-11-71	Filed: Intervening Petition of Margaret F. Rawlins, George M. Rawlins, III, individually and as Trustee, and Margaret R. Muiznieks, Individually and as Trustee, ...David G. Gray, Atty, c/s	
3-11-71	Filed: Intervening Petition of First Baptist Church of Conyers, Ga. David G. Gray, Attorney, c/s.	
3-12-71	Filed: Petition - Ira Bledsoe, Employee, pro se	
3-12-71	Filed: Petition - Albert May and Lonnie H. May, Rebecca Thomas, Atty. c/s.	
3-12-71	Filed: Petition - Mary Louise Gladish, Rebecca Thomas, Atty., c/s.	
3-12-71	Filed: Petition - Marvin D. Parker and wife, Lerion N. Parker, ... E. R. Woolard, atty., c/s.	
3-12-71	Filed: Reclamation Petition - Mrs. Viri J. Hobson, Norris, Tenn, pro se	
3-12-71	Filed: Clarks Chapel Baptist Church, Sidney A. Maynard, Chm. Bldg. Committee - State of Church Bond issue.	
3-12-71	Filed: Petition - Effie L. Morgan; Earl J. Porter, Jr. Attorney	
3-12-71	ENTERED: ORDER NO. 16 - Hearing to be at 9:00 a.m., March 18 on Receiver's Petition No. 11;.....Religious Institutional Finance Corporation of America made party defendant, Receiver authorized to retain in his custody all assets of said corporation. Conformed copy to attorneys of record, and Claimants - pro se.	#16
3-15-71	Petition - Lynn F. Miller (Employee).	
3-15-71	Petition - Emma Rose Cutts, ... Lillard H. Mount, Attorney (Durham, N.C.)	
3-15-71	Petition - James M. Jones, (Employee)	
3-15-71	Petition - Mt. Vernon General Baptist Church, Inc.; Evergreen Baptist Church, Vicktory Baptist Church and East Park Baptist Church,J. G. Lackey, Jr.	
3-15-71	Petition - William Stanton Robinson and Helen H. Robinson, his wife. ...George J. Baya, Atty. (Miami, Fla.)	

Sheet 6(a)

REPRODUCED AT NARA

DATE	PROCEEDINGS	Date Order or Judgment Noted
5-15-71	Petition - Miss Esther Linthicum,....Horace G. Bass, Atty (Danville,Va)	
3-15-71	Petition - Robert Earl Love and wife, Bonnie Love, Stephen C. Sanders,Atty.)	
3-15-71	Petition - Verna Cox Petway, attorney, Stephen C. Sanders,Benton, Kentucky)	
3-15-71	Petition - Mary B. Byerley, atty., ...Stephen C. Sanders,Benty,Ky ...c/s)	
3-15-71	Petition - David R. Walker and Maxine Walker, Robt. C. Taylor,Atty.c/s.	
3-15-71	Petition - J. Larry Stewart, ,,,, Wm. H. Lassiter,Jr.,Atty, c/s	
3-15-71	Petition: Claude O. Bostick and wife Nell Bostick, Alfred T. MacFarland, Atty., c/s.	
3-15-71	Petition: Dr. Charles L. Martin and Mrs. Irene J. Martin, c/s - Carrol D. Kilgore, Atty.	
3-15-71	Petition: Mr. and Mrs. Robert B. Thompson, ...Alfred T. Adams,Jr.,Atty.)	
3-15-71	Petition: Mr. and Mrs. L. C. Oakes,.....Alfred T. Adams,Jr.,Atty.)	
3-15-71	Petition: Mrs. Lavenia Johnson,.....Alfred T. Adams,Jr.,Atty.)	
3-15-71	Petition: Employees' Vacation Fund, Inc., Miami, Fla.,Alfred T.Adams,) Jr., Attorney - c/s.)	
3/16/71	M/S: Order executed as follows: Kennesaw U.M. Church, Covenant Presbyterian, Southside Baptist, First Baptist, Tenn. Baptist Childrens Home, Christian Schools, Inc., Southwest Church of Christ, First Baptist Church, Shelbyville, First American National Bank, Lincoya Hills Baptist Church,.	
3-16-71	Petition - Leonard N. Feuerhelm and wife, Daisy M. Feuerhelm,Vaden Lackey, Jr., Attorney.	
3-16-71	Petition - Fifth Avenue Presbyterian Church, Inc., Knoxville,Tenn. David R. Piper, attorney.	
3-16-71	Petition - Mrs. Martha J. Wilks,...George W. Yost,Springfield,Atty.	
3-16-71	Petition - First United Methodist Church of Little Rock,Ark.,..... Thomas C. Trimble, Jr., attorney.	
3-16-71	Petition - Orville A. Kelly, pro se, 255 Burke Road,Lexington,Ky.	
3-17-71	<u>Petition No. 12 of James C. Barbour, Receiver</u> (proposed order submitted).	
3-17-71	<u>Order No. 17</u> entered pursuant of Petition No. 12 of Receiver.	#17
3-17-71	Peition of Herbert and Myrtle Evans, ...Alex W. Darnell,Clarksville,Atty.	
3-17-71	Mrs. George R. Stone, Petition filed by Jerry M. Hughes, Jr.,Atty.	
3-17-71	Answer of Intervening Defendants, Edward F. Lehning, et ux, Edward F. Lehning Homer H. Waldrop, attorney.	

SEC v. Guaranty Bond & Securities, et al

D. C. 110A Rev. Civil Docket Continuation SHEET NO. 7

DATE	PROCEEDINGS	Date Order or Judgment Noted
3-17-71	Petition - Denbigh Baptist Church, Newport News, Virginia, ... Robert L. Baker, Atty.	
3-17-71	Petition - Woodlawn Baptist Church, Inc., Lebanon, Ky... Robert L. Baker, Atty.	
3-17-71	Petition - First Baptist Church, Euless, Texas, ... Robt. L. Baker, Atty.	
3-17-71	Petition - Mrs. Leona B. Nash, ;;;..... Bill Hodde, Attorney	
3-17-71	Petition - Roy J. Gilleland, III, Employee - pro se	
3-17-71	Petition - Thomas F. Harper, Employee - pro se	
3-17-71	Petition - Donald R. Petty, Employee - pro se	
3-17-71	Petition - Harold C. Wallace, Employee - pro se	
3-17-71	Petition - First Baptist Church of Manning, Inc., Suburban Baptist Church, Inc., Open Door Baptist Church, and Altamahaw Baptist Church, and Tranquil United Methodist Church, Inc.	
3-18-71	Claim - Mrs. Annie Z. Gannon, et al, R#4, Murfreesboro, Tenn (pro se)	
3-18-71	Petition - Mr. Floye E. McCoskey; Fred C. Mitchell, et ux; Marion E. Smith, et ux, Mrs. Odell Wiechman; Joe Silcock, et ux, John K. Maddin, Jr., Robert L. Baker, attys. , c/s	
3-18-71	Petition - First Baptist Church of Gretna, Inc., Gretna, La. Richard G. Steiner, atty., c/s	
3-18-71	Proof of Claim - Felix W. Bunch, et ux, Dee Slayton Bunch, Glasgow, Ky, ... Bobby H. Richardson, Attorney, c/s.	
3-18-71	Proof of Claim - James W. Depp and Amy Depp, his wife, Glasgow, Ky. Bobby H. Richardson, Atty., c/s	
3-18-71	Proof of Claim - Walter W. Bunch and Jewell W. Bunch, Glasgow, Ky. Bobby H. Richardson, Atty., c/s	
3-18-71	Petition - Homer Madray, Frankewing, Tenn., ... D. C. Lee, Pulaski, Atty.	
3-18-71	Petition - Mrs. Vastelle Maughon, Sparta, Tenn., // Grant W. Smith, Atty.	
3-18-71	Petition - Mrs. Buena Harper, R#2, Joelton, Tenn. (pro se) 4/30/71	
3-18-71	Petition - Doctor Hobert Mason, ... A. B. Neil, Jr., Henry C. Fouthh, McAllen Foutch, attys.	

REPRODUCED AT NARA

DATE	PROCEEDINGS	Date Order or Judgment Noted
8-71	Petition - Mr. Van M. Sledd, Charlotte, N.C., ...Wm.A.Parsons,atty.	
3-18-71	Petition - Charles W. Chandler and wife Martha A. Chandler,Clarks-ville, Tenn., (pro se)	
3-18-71	Petition - Alice S., Christopher, and Gwethalyn MaGill.....James H. Henry, Tullahoma, Atty.	
3-18-71	Petition - Mrs. Cannie Skinner, Lawrenceburg,Tenn., Earl J. Poeter,Jr. atty.	
3-18-71	Petition - Mrs. Pauline Van Hooser,...A.B.Neil,Jr., Henry C. Foutch, and McAllen Foutch, attys.	
3-18-71	Petition - Mrs. Mae Herning St. John, Clarksville,Tenn....James C. Cunningham,Atty.	
3-18-71	Petition - James H. Owen and Dorothy S. Owen, Clarksville,Tenn... James C. Cunningham, Atty.	
3-18-71	Petition - First Baptist Church of Goose Creek, Hanahan,S.C.,..... J. G. Lackey, Jr., Atty.	
3-18-71	Petition - J. R. Harris and Elizabeth Harris ... Robt. Sturdivant, Attorney	
3-18-71	Petition - Kenneth G. Rensel and Gladys Rensel ..Robt. Sturdivant,Atty.	
3-18-71	Petition - W. D. Moody and Gay neill Moody.....Robt.Sturdivant,Atty.	
3-18-71	Petition - Robert C. Bradberry(himself and childred)..Robt.Sturdivant,Atty.	
3-18-71	Petition - Cottie Parker Barker and Elfreda B. Johnson,..Robt.Sturdi-vant, Atty.	
3-18-71	Petition - Carl B. Hudson, et ux, etc., ...Robert Sturdivant,Atty.	
3-18-71	Petition - Lewis McCubbin,Robt. Sturdivant, Atty.	
3-18-71	Petition - Sherrill L. Mitchell, et ux...Robt. Sturdivant, Atty.	
3-18-71	Petition - Mrs. Evelyn M. Phillips,Robt. Sturdivant, Atty.	
3-18-71	Petition - Wilbert P. Stockdale, et ux..Robt. Sturdivant, Atty.	
3-18-71	Petition - Mrs. Barbara O. Roberts, ...Robt. Sturdivant, Atty.	
3-18-71	Petition - Carl Roberts and Corrine M. Roberts,...Robt.Sturdivant,Atty.	
3-18-71	Petition - City of Hialeah Employees' Retirement System(Hialeah) and First American Natl.Bank,Custodian for Said System, Robt. Stur-divant, Atty.	
3-19-71	Claim - William C. Johnstone and Katherine Johnstone, Louisville, Kentucky (pro se)	
3-19-71	Claim - J. F. Jacobs, Jr, Clinton, S. C. for Jacobs List,Inc. (Publishers Representaitves)	
3-23-71	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX PROPERTY OF HOUSTON COUNTY, TEXAS, AND TO USE THE \$1,500 SALE PRICE FOR REASONABLE LIVING EXPENSESXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX ORDER NO. 18 - Petition of Henry Vercordain Huey, et al, filed 3/23/71	

D. C. 110A Rev. Civil Docket Continuation

SHEET NO. 8

DATE	PROCEEDINGS	Date Order or Judgment Noted
3-22-71	Claim - Earl A. Northern, c/o First Baptist Church, Charleston, Tenn (pro se)	
3-22-71	Claim - W. W. Grainger, Inc., Statement - Balance Due	
3-22-71	Petition - First United Methodist Church of Little Rock, Ark., Thos.C.Trimble, Jr., atty.	
3-22-71	Petition - Mrs. Mary Lee Snider, Robt. C. Taylor, Atty.	
3-23-71	Petition - Robt. C. Ryan, and Lucille D. Ryan, . Robt.C.Taylor,Atty.	
3-23-71	Petition - Crpsville First United Meth.Church,Tenn.,Thos.E.Looney,Atty.	
3-23-71	Reclamation Petition - Lucy Meurrier and Mary Ann Lewis, Ronald L. Taylor,Southaven,Miss., Atty.	
3-23-71	Petition - Vincent J. DeSantis,Tr., Carmine DeSantis for Agnes V. DeSantis, and Agnes V. DeSantis and Vincent J. DeSantis,..... James C. Evans and Charles Carter Baker,Jr.,attys.	
3-23-71	<u>PETITION OF HENRY JEREMIAH HUEY, JR.,Deft.to be allowed to sell certain property and use proceeds for living expenses.</u>	
3-23-71	<u>ORDER NO. 18</u> - Petitioner Huey allowed to sell certain property using proceeds for living expenses.	#18
3-23-71	<u>Petition No. 13 of James Barbour, Receiver</u> - Re: Sale of Airplane	
3-24-71	<u>ORDER NO. 19</u> Extending Time for Aetna Ins.Co. to Answer Receiver's Petition #8.	#19
3-24-71	Claim of J. B. Johnson, Vero Beach, Fla., Chas. A. Powers, Jr.,Atty.	
3-24-71	Proof of Claim - Nellie D. Miller, Phoenix, Arizona, ..Sharon B. Stanford, Atty.	
3-25-71	Entered: FINDINGS OF FACT AND CONCLUSIONS OF LAW	2d -FF&CL
3-25-71	Entered: <u>ORDER NO. 20</u> pursuant to hearing on March 18, on <u>Receivers Petitions #7 and #11</u> ; Receiver shall not be required to take any action as to bonds in custody of First Amer.Bank issued by RIFC; Receiver to notify claimants - if no exception to confirmation statement is received by Peat, Marwick,Mitchell within 20 days, amounts shown shall be presumed to be true and audit may be completed; hereafter copies of pleadings and orders will be sent to designated counsel in geographical areas,additional copies may be obtained from Clerk's office upon request and payment of reasonable costs.	#20
3-25-71	Entered: <u>ORDER NO. 21</u> pursuant to Receiver's Petition No. 13 - petition allowed.	#21

Sheet 8(a)

DATE	PROCEEDINGS	Date Order or Judgment Noted
5-71	Proof of Claim - Hurst L. Kiser, Sec-Treas. Marshall & Bruce Co. (for printing, etc.), 12th Ave. S., Nashville 37203	
3-25-71	Petition and Claim of Dr. Roscoe Roy Coats, Trumansburg, N.Y.,	
3-26-71	Wm. J. Harbison, Esq., atty. Appearance by Counsel: Frank C. Ingraham, Esq. atty. for Claimant	
3-26-71	Robert M. Covington, Sr. Petition - Mrs. Roy J. Dennard, Nashville, ... Glendon M. Fisher, Jr., Atty.	
3-29-71	Complaint of Intervenor - Paul W. Grubbs and Naomi G. Grubbs, Brookeland, Texas - Thos. O. Kanaday, Jr., Attorney	
3-29-71	Donald L. Fowler, Credit Mgr. Royal Publishers, 405 7th, Nashville, Tenn. (State ment of Account)	
3-29-71	Proof of Claim, Application to Reclaim Property on behalf of Mary J. Meurrier, of Batesville, Miss.;	
3-29-71	Proof of Claim, Application to Reclaim Property on behalf of James Meurrier of Batesville, Miss., Robt. H. Broome, Batesville, Atty.	
3-29-71	Claim of Mrs. Belie G. Tyson, Bellevue, Texas - Renne Allred, Jr., Bowie, Texas 76230, Atty.	
3-29-71	Proof of Claim - Gethsemane Baptist Church of Louisville, Ky., Wm. P. Mulloy, Louisville, Atty.	
3-29-71	Complaint of Intervenor, Mrs. Buhler Gillum, Guthrie, Ky., Alfred T. Adams, Jr., Nashville, Atty.	
3-30-71	Petition - James W. Kennon, ... Employee.	
3-31-71	W. Makadok, Asst. Comptroller, Avis Rent A Car System, Inc, Garden City, N.Y. Statements of account attached.	
4-1-71	Proof of Claim - West End Baptist Church, Petersburg, Va., Eugene R. Marable, Jr., Attorney. [Ken ly, N.C.]	
4-2-71	Appearance by Counsel: Wm. R. Britt, atty for Irwin N., Nancy E., and Geo. T. Davis,	
4-7-71	Filed: Petition No. 14 of James C. Barbour, Receiver Plan for Partial Distribution. (Conformed copy to designated attorneys pursuant to order #20)	
4-8-71	Petitions(3) - Lila Stopinski for herself and guardian of: Steven Craig Stopinski and Robert Dean Stopinski; self & guardian of Steven Craig Stopinski; and self & guardian of Robt. Dean Stopinski - Peter Trenchi, Jr., Tullahoma, Atty.	
4-9-71	Proof of Claim - Service Merchandise Co., Inc., Gilbert Abrams, Asst. Controller, Nashville, Tennessee	
4-12-71	Claim - L. D. Bushnell of Port Arthur, Jefferson Co., Texas	
4-12-71	Petition - Rowena Bernard, Greeneville, Tenn - Jack Wright Robinson, Nashville, Attorney	

S. E. C. v. GUARANTY BOND & SECURITIES, ET AL

D. C. 110A Rev. Civil Docket Continuation

SHEET NO. 9

Date Order or
Judgment Noted

DATE

PROCEEDINGS

DATE	PROCEEDINGS	Date Order or Judgment Noted
4-13-71	Proof of Claim - Malcolm S. Cash and/or Cecile M. Cash, Spring City, Tenn - C. P. Swafford, Atty.	
4-13-71	Proof of Claim - Austin T. Cochran and/or Stella G. Cochran, Rockwood, Tennessee, - C. P. Swafford, Atty.	
4-13-71	Petition of James Milburn Jones, Nashville - EMPLOYEE pro se	
4-13-71	Petition of Bertie Alexander - Alfred H. Knight, Atty.	
4-13-71	Petition of Vera P. McKinney - Alfred H. Knight, Atty.	
4-13-71	Petition of Charles McClendon - Alfred H. Knight, Atty.	
4-19-71	<u>Entered: Order-No. 22 upon Receiver's Petition No. 14.</u>	#22
4-19-71	<u>Filed: Petition No. 15 of James C. Barbour, Receiver, to Liquidate Certain Assets.</u>	
4-19-71	<u>Entered: Order (upon Petition No. 15) NO. 23</u>	#23
4/15/71	Filed: Claim of Ann Williams with Exhibit A.	
4/16/71	Filed: Claim for George T. Warren and Juanita K. Warren.	
4/19/71	Filed: Claim of Robert G. Fields & Company, Creditor.	
4/19/71	Filed: Claim of Michael and Rozella Perry.	
4/19/71	Filed: Answer of the Prty Defendant, Aetna Insurance Company, to Petition No. 8 of James C. Barbour, Receiver. C/S	
4/21/71	Filed: Proof of Claim for IBM with attached statement of claim. by J. C. Davis, Jr., Mgr. Administrative Operations Office of IBM 148 Cain St., N.E. Atlanta, Ga 30303.	
4/22/71	Filed: State of Alabama Claim for Taxes. by James R. Payne, Asst. Counsel, Dept. of Revenue, State of Alabama, Montgomery.	
4/22/71	Filed: Consent to Plan of Distribution by Fifth Avenue Presbyterian Church of Knoxville, Tennessee. C/S	
4/26/71	Filed: Claim of Xerox in amount of \$3,048.31 with Proof of Claim in Bankruptcy and Statement.	
4-27-71	Proof of Claim of J. Lyle and/or Willie Mae McMillan, Knoxville, ...L. B. Bolt, Jr., Atty.	
5-3-71	Claim - United Inter-Mountain Telephone Co., Larry D. Wagner, Group Manager, Bristol, Tenn. (\$39.56)	

//////////

REPRODUCED AT NARA

Sheet 9(a)

DATE	PROCEEDINGS	Date Order or Judgment Noted
-71	Filed: Proof of Claim - Aubrey G. Phelps, Treas. Dotson Memorial Missionary Baptist Church, R#1, Maryville, Tenn	
5-3-71	Filed: <u>OBJECTION to Order No. 22</u> - On behalf of Fred Cathey, by Chas. C. Morrow, Attorney.	
5-4-71	Filed: <u>Petition No. 16 of James C. Barbour, Receiver</u> .	
5-6-71	Entered: Order No. 24 pursuant to Petition #16.	#24
5-6-71	Entered : Permanent Injunction as to Guaranty Bond & Securities Corp., and Guaranty Bond Co., Inc.	Permanent Injunction
5/6/71	Filed: M/S as follows: Subp. served on Gordon Brewer (3/17/71); Gordon Brewer (3/17/71); Aetna Ins. Co. of Hartford, Conn., (3/17/71)	
5/7/71	Filed: <u>Objections to Order No. 22</u> on behalf of Richard B. Burton (John G. Mitchell, Jr. and James R. Sasser, attys); ...and Exum Watts, (Maynard Holt, Atty).	
5-10-71	Claim: American Express Company, New York City, Thomas J. O'Connor, attorney.	
5-10-71	Claim: (Dodge Customer Services, New York, John S. Chesnut, Mgr. (of McGraw Hill Information Systems Co., Hightstown, N.J.	
5-11-71	Petition of Frank S. King, Jr. priority claim for legal services.	
5-12-71	Entered: Order No. 25 re: Christian Schools, Inc. dba Goodpasture Christain. Copy to Attorneys Collins, Penland and H. Philip Sadler.	#25
5/24/71	Filed: Answer to Petition of Frank S. King, Jr. C/S as to Frank S. King, Jr. Copy to other attys on list.	
5/25/71	Entered: Order No. 26 amending Order No. 23 and the Receiver's authority is reaffirmed. Copy to attys on list.	#26
6-1-71	Filed: <u>Petition No. 17 of James C. Barbour, Receiver</u> - copy to selected attorneys.	
6-1-71	Entered: ORDER NO. 27 - Receiver authorized to execute and consummate contracts involving Continental Nu-Tron, Inc. and CNI Acceptance Co., copies attached to Receiver's Petition No. 17. Conformed copy to selected attorneys.	#27
6-1-71	Claim - Wickes Lumber Co. (Louisville Credit Men's Assn., Agent)	
6-2-71	Claim - Kentucky Lumber Co (" " " " " ")	
6-2-71	Claim - Ohio Bureau of Employment Services, Armin A. Walter, Asst. Dir. Unemployment Compensation, Contributions (Church Bldg. Associates, Inc., Opr. Cumberland Industries)	
6-3-71	Claim - Kiwanis Club of Woodmont, Creditor - Frank C. Ingraham, Attorney	

D.C. 110A Rev. Civil Docket Continuation SHEET NO. 10

DATE	PROCEEDINGS	Date Order or Judgment Noted
6-3-71	Claim - Southern Airways, Inc., Atlanta Airport, Atlanta, Ga.	
	James W. Gewin, Birmingham, Ala., Atty.	
6-7-71	Objection of Tennessee Temple College to Petition of Frank S. King, Jr.; Brief	
6-8-71	Proof of Preferred Claim for Taxes Due the Commonwealth of Ky.	
6-14-71	Claim (Letter dated 6-8-71) on behalf of Carroll Memorial Baptist Church, Fayetteville, N.C., James E. Hester, Pastor.	
6-14-71	Filed: Petition of Mary Ann Baptist Church, Gulf Port, Miss. relative to exchange of bonds, approved by Ovid Collins, Jr., J. Brad Reed, and Ed R. Davies.	
6-14-71	<u>Order No 28 Entered</u> - Petition of Mary Ann Baptist granted; exchange of bond between petitioner and First Amer. Natl. Bank allowed.	#28
6-22-71	Claim of Donald C. Mahone, Employee, Stallion Drive, Nashville.	
6-22-71	Entered: <u>ORDER NO. 29</u> - Bank to release bonds to City of Hialeah Employees Retirement System or retain them free of restrictions imposed by Order #10.	#29
6-24-71	Filed: Petition of Hickory Hills Baptist Church, Memphis, Ed. R. Davies, atty, c/s.	
7-8-71 ✓	<u>Filed: Joint Petition of James C. Barbour, Receiver, and First American National Bank in Nashville.</u> (NOT NUMBERED)	
7-8-71	Entered: <u>ORDER NO. 30</u> - Settlement Agreement outlined in Joint Petition of Receiver & 1st Amer. Natl. Bank approved, Petitioners authorized to proceed to carry out its terms and provisions, with respect to restitution of certain funds set off by bank and disposition of collateral held by it.	#30
7-12-71	Entered: <u>Order No. 31</u> - approved by attorneys Ovid Collins & Ed Davies, ... 1st Baptist Church; Kennesaw U.M.C.; So. Side Baptist & Covenant Presbyterian having satisfied requirements of Receiver.... bonds may be released to respective owners free of restrictions imposed by Order #10. Conformed copy to attys. Collins, Davies & Penland.	#31
7-16-71	Proof of Claim for Internal Revenue Taxes - Harold G. Overton, Acting Chief, Special Procedures Staff, Nashville - PO Box 1107.	
7-16-71	Proof of Claim - Verdie M. Guest, Jr., dba Guest Elec. Company, Nashville.	
7-16-71	Proof of Claim - Chromalox, Edwin L. Wiegand Div., Emerson Elec. Co., 7500 Thomas Blvd., Pittsburgh, Pa. 15208	
7-21-71	Memorandum of Authorities in Support of Objections to Order No. 22 on behalf of Richard B. Burton and Exum Watts (Maynard Holt, John G. Mitchell, Jr. and James R. Sasser, Attorneys)	

Sheet 10(a)

REPRODUCED AT NARA

DATE	PROCEEDINGS	Date Order or Judgment Noted
-26-71	Proof of Claim - Taylorville Baptist Church, Tuscaloosa, Ala., Joseph E. Glenn, Tuscaloosa, Attorney	
7-26-71	Petition and Claim of Ernest Griggs, Commissioner, Dept. of Employment Security, State of Tennessee, W. L. Moore, Chief Counsel (deft. Church Bldg. Associates, Inc. d/b/a Cumberland Industries, Inc.)	
7-26-71	Petition and Claim of Ernest Griggs, Commissioner, Dept of Employment Security, State of Tennessee, W. L. Moore, Chief Counsel (Deft.- Guaranty Bond Co., Inc.)	
7-30-71	Filed: Petition for order directing that additional service charges set forth as commissions for anticipated sales of bonds from petitioners' Funds No. 920 be set aside and that petitioners be given appropriate credits in their cash accounts - on behalf of Tampa Bay Baptist Assn; Warrington Presby. Church; Sandston Presby. Church; Kala Church of Christ; First Mt. Pleasant Baptist Church; Florissant Valley Christian Church; and First Baptist Church of Schertz, Certificate of service as to attys. Greene, Penland and Randolph, SEC; and W. Ovid Collins, Jr., attorney for Receiver executed by Ed R. Davies, Attorney.	
8-9-71	Claim of E. B. Smith Chevrolet, Inc., Exhibits A, B & C, Letter of service as to attys. Collins and Penland, James C. Havron, Atty for claimant	
8-11-71	Filed: Memorandum of Authorities Submitted on Behalf of James C. Barbour, Receiver, with Reference to Objections to Order No. 22 (Copy mailed to J. Cecil Penland)	
8-16-71	Petition of Harold C. Wallace & Associates assignor to Gilleland-Wallace & Associates, Inc., P. O. Box 116, Nashville, Tennessee 37202	
8-16-71	Petition of Zelmodene Fitzgerald (Employee)	
8-16-71	Petition of Jerry T. Grubbs (Employee)	
8-18-71	Proof of Claim for Internal Revenue Taxes, John H. Faragher, Chief, Special Procedures Staff, P.O. Box 1107, Nashville 37202.	
8-20-71	Proof of Claim - Marvin P. Friedman, Pres. & Chm. Bd. of General Care Corp., c/s as to W. Ovid Collins, Jr. - Robert C. Hendon, Jr., Atty.	
8-20-71	Claim of First Baptist Church of Euless, Texas; ... Robt. L. Baker, Atty.	
8-20-71	Proof of Claim of Taylor Impression, Inc.; ... Robt. J. Warner, Jr., Atty.	
8-20-71	Creditor's Claim - Cumberland Presbyterian Church, Cookeville, Tenn.; ... E. Acuff, Atty.	

D. C. 110A Rev. Civil Docket Continuation

SHEET NO. 11

DATE	PROCEEDINGS	Date Order or Judgment Noted
8-23-71	Filed: Motion for a Hearing - on behalf of Nashville Computer Service, Inc., Memorandum Brief in Support of Petition; Notice of Hearing to W. Ovid Collins, Jr., J. Cecil Penland and Frank S. King, Jr.	
8-24-71	Claim of Frayser Christian Church, Memphis, Tenn., Philip G. Kaminsky, Attorney, c/s.	
8-24-71	Claim of Whitsitt Chapel Baptist Church Against the Receivership, Tom G. Coleman, Atty.	
8-25-71	Answer of James C. Barbour, Receiver, to Petitions of Hickory Hills Baptist Church and Tampa Bay Baptist Assn., et al.	
8-25-71	Filed: <u>Motion for Summary Judgment filed on behalf of plaintiff, Securities & Exchange Commission; Memorandum in Support of Motion</u> (copy mailed to Frank King, Esq. and to W. Ovid Collins, Esq.). (C/S filed by SEC 8-26-71)	
8-26-71	Entered: <u>ORDER NO. 32</u> approved by W. Ovid Collins, Jr. and Robt. P. Thomas, Esq. - Time extended for First Baptist Church of Platte City, Mary Ann Baptist Church, South Side Baptist Church of Pine Bluff, and Frayser Christian Church to perfect claims - until 10-1-71.	#32
8-26-71	Proof of Claim and Petition - Allan S. Curtis, Director of Franchise and Excise Tax Division, State of Tennessee.	
8-27-71	Filed: <u>Petition No. 18 of James C. Barbour, Receiver</u> , against General American Life Insurance Company of St. Louis, Missouri, Ex. 1 & 2	
8-27-71	Filed on behalf of defendants Henry Jeremiah Huey, Jr. and Brooks Thomas Huey; Reply to Motion for Summary Judgment of SEC, c/s as to SEC and W. Ovid Collins, Jr.	
8-27-71	Contingent Proof of Claim of Fidelity and Deposit Company of Maryland, XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX Wm. D. Bollinger, Baltimore	
8/30/71	Filed: Amendment to Intervening Petition of Fifth Avenue Presbyterian Church, Inc., of Knoxville, Tennessee. C/S	
8-30-71	Proof of Claim - South Central Bell, Ben W. Duggan	
8-30-71	Proof of Claim - Jack Huhn Plumbing and Heating Company, Inc.	
8-31-71	Supplemental Claim and Petition of Travis Road Baptist Church - J. Vaulx Crockett, Attorney	
8-31-71	Proof of Claim of Strathmoor Presbyterian Church, Leroy J. Ellis, III, Atty.	
8-31-71	Petition of Robert V. Parrih, Employee	

Sheet 11(a)

REPRODUCED AT NARA	PROCEEDINGS	Date Order or Judgment Noted
-31-71	Proof of Claim - Joe K. Gilbreath, Jr., Director, Miscellaneous Tax Division, State of Tennessee, Andrew Jackson State Office Bldg.	
8-31-71	Proof of Claim - Humble Oil & Refining Co., Houston, Texas.	
8-31-71	Proof of Claim First Amer. Natl. Bank of Nashville against Church Bldg. Associates, Inc. (Brad Reed, Atty)	
8-31-71	Proof of Claim First Amer. Natl. Bank of Nashville against Guaranty Bond Co., Inc. (Brad Reed, Atty.)	
8-31-71	Petition of Brooks T. Huey former employee and officer of Guaranty Bond, Frank S. King, Jr., Atty.	
8-31-71	Petition of H. Jere Huey/employee and officer of Guaranty Bond, etc. Frank S. King, Jr., Atty.	
8-31-71	Claim of Lincoya Hills Baptist Church Against the Receivership, Tom G. Coleman, Jr., atty.	
9-1-71	Entered: ORDER NO. 33 pursuant to hearing under Receiver's Petition No. 18, - General American Life Insurance Company of St. Louis, Missouri should be made party deft. and required to file responsive pleading; Process to be issued by the Clerk and served upon Commissioner of Ins. and Banking. (Att. copy to selected attys. with conformed copy of Receiver's Petition No. 18).	#33
9-1-71	<u>Summons Issued</u> - Delivered to U.S. Marshal with copy of Order #33 and Receiver's Petition #18 for service on Genl. American Life Ins. Co., St. Louis, c/o Comm. Ins. & Bkg., w/ \$3.00 check payable to Comm.	
9-1-71	Claim - Walnut Hill Bap. Church, Petersburg, Va. - Robt. L. Baker, Atty	
	Claim - Southwest Ch. of Christ, Jonesboro, Ark. - "	
	Claim - Calvary Bap. Ch., Kingsport, Tenn., Inc. - "	
	Claim - Denbigh Baptist Church "	
	Claim - Woodlawn Baptist Church, Inc "	
	Claim of Mrs. Tressa S. Hickerson "	
	Claim of Mrs. Cornelia Gillenwater "	
	Claim of Miss Mary Browning "	
	Claim of Sterling T. Chase "	
	Claim of W. L. Algea, Jr., and wife Kate B. Algea "	
	Claim of Rupert Ballard and wife Mabel W. Ballard "	
	Claim of Harold DeFoe and wife Ruth R. DeFoe "	
	Claim of Mr. & Mrs. Ralph Colglazier "	
	Claim of Harold G. Meers, Guardian for Janet Susan Meers, a Minor "	
	Claim of Harold G. Meers, Guardian for Carol Ann Meers, "	

Verbal Statement
~~Excluded~~ of Service as t
 Attys. Collins & Penland
 Robt. L. Baker (lme)

DATE	PROCEEDINGS	Date Order or Judgment Noted
9-1-71	Claim of Harold G. Meers, Guardian for Patricia Lynn Meers, a Minor	Robt. L. Baker, Atty.
	Claim of Harold G. Meers	"
	Claim of Fred C. Mitchell and wife Frances L. Mitchell	"
	Claim of L/R. Richardson, et ux, Custodian for David L. Richardson	"
	Claim of L. R. Richardson, et ux Custodians for Mara Suzanne Sawyers	"
	Claim of L. R. Richardson and wife Effie Richardson	"
	Claim of Joe Silcock and Sanford Silcock	"
	Claim of Marion E. Smith and wife Pattie V. Smith	"
	Claim of Houston Trulock and wife Buena Mae Trulock	"
	Claim of P. G. Trulock	"
	Claim of Paul W. Turner and wife Martha Jan Turner	"
	Claim of Mrs. Ralph K. Watson	"
	Claim of Floyd McCoskey and wife Vera McCoskey	"
9-1-71	Proof of Claim and Demand for Return of Unearned Fees on behalf of First Baptist Church of Gretna, Inc., Richard G. Steiner, Gretna, La.	Atty.
9-7-71	Claim - State of Alabama, Dept. of Revenue, Wm. J. Baxley, Atty. Genl., Ala.	A
9-8-71	Return on Service of Process executed as to General American Life Ins. Co. of St. Louis, Mo. by Dept. Ins. & Bkg.	
9-9-71	Proof of Claim - Addressograph-Multigraph Corp., Cleveland, Ohio, A. F. Gregory, Jr., Genl. Cr. Mgr.	
9-17-71	Proof of Preferred Claim - State of Indiana, Dept. of Rev., N. Gregg Graham, Legal Section.	
9-24-71	Filed: Motion to Allow Claim After the Expiration of Designated Time on behalf of Printers & Stationers, Inc., Florence, Alabama, c/s; Proof of Claim in Receivership submitted; Jesse A. Kettler, Esq. P.O. Box 687, Florence, Alabama 35630, attorney	
9-28-71	Filed: Answer of James C. Barbour, Receiver, to Petition of Nashville Computer Service, Inc., Exhibits attached.	
10-1-71	Claim of Southside Baptist Church of Pine Bluff, Ark., Robt. P. Thomas, Atty.	
10-1-71	Claim of Frayser Christian Church of Memphis, Tenn., " " " "	
10-1-71	Claim of Mary Ann Baptist Church of St. Ann Missouri, Robt. " " "	
10-1-71	Claim of First Baptist Church of Platte City, Mo., Exh. A, Robt. P. Thomas, Atty.	
10-4-71	Claim of Southern Airways, Atlanta, Ga. (James W. Cowin, B'ham, Atty.)	

////////////////////

(OVER)

Sheet 12(a)

REPRODUCED AT NARA

DATE	PROCEEDINGS	Date Order or Judgment Noted
-12-71	Entered: ORDER NO. 34 - Pursuant to further hearing upon Petition No. 18 of Receiver against General American Life Ins. Co. St. Louis - Settlement Agreement in the best interest of receivership estate, Receiver authorized to consummate transaction.	#34
10-18-71	Filed: OCR's Transcript - Proceedings 8-16-71 (Clerk's Copy)	
10-21-71	Filed: Defendants Huey's Accounting pursuant to Order No. 4 permitting Lease and/or Sell up to 35 Motor Vehicles, Exhibits I and II attached. (Copy mailed to attys. Collins and Penland)	
10-21-71	Filed: Accounting Pursuant to Order No. 5 permitting Henry Jeremiah Huey, Jr. to join his wife in placing a Second Mortgage on Real Estate, Exhibit I attached. (Copy mailed to attys. Collins & Penland)	
10/26/71	Entered: Permanent Injunction. Ordered that Plaintiff's Motion for Summary Judgment be granted and that defendants are permanently enjoined from aiding & abetting defendant Guaranty Bond & Securities from several items as set forth in this injunction.	<i>Injunction Not Numbered</i>
11-23-71	Filed: Joint Petition of James C. Barbour, Receiver, and First American National Bank of Nashville - that order be entered approving transfer of Bonds to the Bank, including all past due interest thereon, in full and complete satisfaction of Bank's Claim against Guaranty Bond Company, Inc.; that Court determine reasonable attys fee, approved by attorneys W. Ovid Collins, Jr. and J. Bradbury Reed.	
11-23-71	Entered: ORDER NO. 35 - Settlement Agreement as set forth in Joint Petition approved, Receiver and 1st Amer. Natl. Bk. authorized to carry out its terms and provisions: Bank will accept transfer of all bonds pledged to Bank as set forth in petition, including all int. accrued but not yet received thereon (whether represented by matured coupons or not) in complete payment of its claim against Guaranty Bond Co., Inc., Court determines a reasonable fee for Bank's attys. is not less than \$17,550.84.	#35
12-2-71	Filed: <i>See Entry 2/5/71</i> Memorandum of James C. Barbour, Receiver, with reference to Petition of South Central Bell Telephone Company (filed 2-5-71), c/s; Letter dated 12-1-71 from W. Ovid Collins, Jr. - parties have agreed matter may be submitted to the Court on the record without oral argument.	
1-8-72	Entered: ORDER NO. 36 - Petitioner, South Central Bell, is not entitled to preference, only a general claim for its termination charge of \$2,090.00.	#36
11-22-72	Entered: ORDER NO. 37 - Pursuant to petitions against Receiver seeking to be entitled to preference as to monies transferred from trust funds to another entity, i.e., the parent company, Guaranty Bond	#37

SEC v. GUARANTY BOND & SECURITIES CORP., ET AL

D. C. 110A Rev. Civil Docket Continuation

Sheet No. 13

DATE	PROCEEDINGS	Date Order or Judgment Noted
9/22/71	Filed: M/R on Summons issued to General American Life Insurance Co. of St. Louis.	
11-9-71	Filed: Amended & Supplemental Petition of H. Jery Huey, c/s	
11-9-71	Filed: Amended & Supplemental Petition of Brooks T. Huey, c/s	
11/11/71	Filed: M/R - Injunction served upon H. J. Huey, Jr.	
12-6-71	Filed: Reply to Answer of Receiver by Nashville Computer Serv., c/s	
1-8-72	<i>See Entry Reverse Side Sheet 12 Order #36</i>	
1-12-72	Entered: <u>ORDER NO 37</u> - Pursuant to petitions against Receiver, seeking to be entitled to preference as to monies transferred from Trust Funds to another entity, i.e., the parent company, Guaranty Bond Company;.....Petitioners' Claim of Preference is denied.	#37
2-1-72	Entered: <u>ORDER NO 38</u> - Pursuant to Nashville Computer Service, Inc. requests for approval to sell certain "soft ware;" Petition Denied.	#38
2-2-72	Filed: Receiver's Report as to Claims Filed; Summary of Claims; Claims Alleging Priority; Claims Alleging Security; Claims - Unsecured.	
2-8-72	Filed: Amended Claim No. 2, John H. Faragher, Chief, Special Procedures Staff, Internal Revenue Service, Nashville, Tennessee (orig. mailed to W.Ovid Collins, Esq.)	
2-9-72	Entered: <u>ORDER NO. 39</u> - Claims by former employees set for hearing 8:30 a.m., Friday, March 10, 1972 (Mr. Collins Letter advises Receiver will notify said employees).	#39
2-11-72	Entered: <u>ORDER NO. 40</u> - Order #39 Withdrawn - Claims of Employees will be heard 8:30 a.m., March 21, 1972.	#40
2-16-72	Filed: Receiver's Petition No. 19 - for Order requiring General Care Corp. to show cause.	
2-16-72	Entered: <u>ORDER NO. 41</u> - General Care Corp. to show cause 2-22-72, 8:00 a.m. why it should not be enjoined.	#41
2-17-72	Filed: Proof of Claim - Final Statement-Switchboard Termination Charge in the amt. of \$2,090.00, on behalf of SouthCentral Bell.	
2-22-72	Filed: Receiver's Brief in Support of Petition No. 19, c/s as to counsel for Genl. Care Corp. and 1st Amer. Natl. Bank.	
2-22-72	Filed: Answer of General Care Corp. to Receiver's Petition No. 19 and Order No. 41, Ex. 1, 2 and 3, c/s as to Brad Reed, W.Ovid Collins, and Frank S. King, Jr.; Memorandum of General Care Corp., c/s.	
2-22-72	Filed: Brief of First American Natl. Bank of Nashville in Support of Receiver's Petition No. 19, c/s.	

REPRODUCED AT NARA

Sheet 13(a)

DATE	PROCEEDINGS	Date Order or Judgment Noted
-1-72	Entered: <u>ORDER NO. 42</u> - General Care Corp. enjoined from proceeding further in Davidson County Chancery Court No. A-50 beyond determination of amt. of its claims against insolvent corporations; questions as to validity, etc. as well as liability of 1st. Amer. Bk. resulting from payment of funds under Order #30 will be determined in this court.	#42
3-2-72	Filed: <u>Receiver's Petition No. 20</u> - for Order authorizing petitioner to accept "Offer to Take an Option," (Exhibit #1); further authorizing petitioner to accept (Exhibit #2) of Cooperative Church Finance, Inc.	
3-2-72	Entered: <u>ORDER NO. 43</u> - Pursuant to Receiver's Petition No. 20.	#43
3-6-72	Filed: Petition of Henry Jeremiah Huey, Jr. and Brooks Thomas Huey for Permission to Sell Real Estate in Benton County, Tennessee Exh. A & B.	
3-6-72	Entered: Order No. 44 Granting Henry Jeremiah Huey, Jr. and Brooks Thomas Huey permission to Sell Real Estate in Benton County, Tenn.	#44
3-9-72	Filed: NOTICE OF APPEAL from Order No. 42, on behalf of General Care Corporation, Waller, Lansden, Dortch & Davis, attys. Attested copy mailed to attys. Collins, Penland, and J. Brad Reed.	
3-9-72	Filed: Petition of Henry Jeremiah Huey, Jr., et ux for permission to sell property in Houston County, exhibits A, B, C, D & E (copies Order #5, Warranty Deeds properties of Dunnagan, et ux; Patterson, et ux; Pate, et ux; Virginia R. Craig, et al, to Henry Jeremiah Huey, Jr.	
3-10-72	Entered: ORDER NO. 45 Permitting Henry Jeremiah Huey, Jr., et to sell 475 Acres in Houston County, pursuant to petition filed this 3-9-72.	#45
3-14-72	Filed: Cost Bond on Appeal, on behalf of General Care Corp.	
3-17-72	Filed: Receiver's Petition No. 21 - that an Order be entered authorizing disbursal of 1/2 of the 5% reserve fund (established under Order #22)	
3-17-72	Filed: Consolidated Statement of Affairs - January 27, 1971 (With Accountants' Report thereon); Consolidated Statement of Funds and Schedules - Jan. 27, 1971 through <u>July 31, 1971</u> (With Accountants' Report thereon); Consolidated Balance Sheet and Schedule - July 31, 1971 (With Accounts' Report Thereon); ... Peat, Marwick, Mitchell & Co., Certified Public Accounts.	
3-17-72	Filed: Designation of Record on Appeal on behalf of General Care Corp., c/s.	
3-21-72	Entered: ORDER NO. 46 - pursuant to hearing under Receiver's Petition #21; ... Hearing will be held 4-6-72, 9:00 a.m., for fixing compensation of Receiver and his attorney for services rendered. (See Sheet No. 14)	#46

S. E. C. vs. GUARANTY BOND & SECURITIES CORP., ET AL

D. C. 110A Rev. Civil Docket Continuation

Sheet No. 14

DATE	PROCEEDINGS	Date Order or Judgment Noted
3-24-72	Filed: Receiver's Memorandum re Priority and/or Security of Employee Claims.	
3-27-72	Entered: <u>ORDER NO. 47</u> - Re: Priority and/or security of employees, Findings of Fact and Conclusions of Law; Receiver authorized and directed to treat each claim as general or unsecured not entitled to priority except he is authorized to pay Thos. F. Harper \$344.50 incurred by him as travel expenses during the first week in Feb. 1971.	#47
3-29-72	Filed: Petition of First American Natl. Bank of Nashville for order approving foreclosure of real estate, exhibits attached.	
3-30-72	Entered: <u>ORDER NO. 48</u> - Permitting First Amer. Natl. Bk. to foreclose on real estate owned by Henry Jeremiah Huey, Jr. and Brooks Thomas Huey.	#48
3-31-72	Filed: <u>Receiver's Petition No. 22</u> alleging customers of Guaranty Bond & Security are entitled to protection and benefits afforded by SIPA; that an order be entered requiring respondents to show cause why SIPC should not be required to intervene, Exhibits 1 and 2 attached. (order #49 submitted)	
4-5-72	Filed: Affidavit of W. Ovid Collins, Jr., Attorney for Receiver Re: Services Performed from Jan. 29, 1971 through March 31, 1972	
4-6-72	Entered: <u>ORDER NO. 49</u> pursuant to hearing under Receiver's Petition #22,.....SEC and Securities Investors Protection Corp. to be served with copy of Petition #22 and instant Order #49, ordered that they show cause on or before April 20, 1972 at 9:00 a.m., why the remedies afforded by Securities Investor Protection Act of 1970 should not be made available in this proceeding,..... Attested copy of Order with conformed copy of Petition #22 delivered to U. S. Marshal for service upon Securities Investor Protection Corp., Washington, D.C.;.....Copies of same mailed to J. C. Penland, SEC, Atlanta, Ga.	#49
4-17-72	Filed: OCR's Transcript - Proceeding Feb. 22, 1972 (transcribed at the request of Attorney for General Care Corp.)	
4-17-72	Certified Record on Appeal (General Care Corp. Appellant), mailed to the 6thCCA; copy of Clerk's Certificate and Index mailed to attys. Penland, Collins, Lansden, Reed and King.	
4-19-72	Entered: <u>ORDER NO. 50</u> Hearing pursuant to Order #49 requiring respondents to show cause is adjourned from April 20, to May 10, 1972 at 8:00 a.m.	#50
4/24/72	Filed: M/R Summons served as instructed upon Securities Investor Protection Corporation.	

(over)

REPRODUCED AT NARA

Sheet 14(a)

DATE	PROCEEDINGS	Date Order or Judgment Noted
5-72	Filed: Petition of Henry Jeremiah Huey, Jr. to be allowed to execute note to be secured by deed of trust on certain lands in Houston and Dickson Counties.	#51
5-5-72	Entered: ORDER NO. 51 - Henry Jeremiah Huey, Jr. permitted to join his wife in the mortgaging of 475 Acres in Houston County and 158 acres in Dickson County, Tennessee, approved by attys. Frank King and Ovid Collins.	#51
5-17-72	Filed: Verified Answer of Respondent, Securities Investor Protection Corp., Theodore H. Focht, General Counsel, certificate of service as to W. Ovid Collins, Jr., and David Ferber, Solicitor, SEC, Wash. D.C., Exhibits A through F, attached.	#51
5-17-72	Filed: Response of Securities and Exchange Commission to Order to Show Cause (Order #49); Letter of Service attached.	#51
5-24-72	Filed: Memorandum of James C. Barbour, Receiver Re: Securities Investor Protection Act, c/s as to David Ferber, Solicitor, SEC, Washington, D.C. and Wilfred R. Caron, Asst. Genl Counsel, SIPC, Washington, D.C.	#51
5-31-72	Filed: Affidavit of James C. Barbour, Receiver relative to amount of compensation for services, Exhibit No. 1 attached.	#51
5-31-72	Filed: Affidavit of W. Ovid Collins, Jr., Attorney for Receiver relative to amount of compensation for legal services; Affidavit of Carmack Cochran and Affidavit of J. O. Bass, Sr. in Support of affiant W. Ovid Collins, Jr.	#51
6-2-72	Filed: Memorandum of Securities and Exchange Commission In Support of Its Response to Order to Show Cause (Order No. 49) and in Answer to Memorandum of James C. Barbour, Receiver: Re: Securities Investor	#51
6-5-72	Filed: Memorandum in Opposition to petition to compel SIPC to invoke procedures and remedies provided in Securities Investor Protection Act of 1970, filed by Theodore H. Focht, General Counsel, SIPC, c/s.	#51
6-6-72	NOTICE mailed to all Parties; -Hearing set 9:00 a.m., June 22, on petitions of receiver James C. Barbour, and his attorney, W. Ovid Collins to fix fees for services	#51
6-7-72	Memorandum in opposition by Securities Investor Protection Corp.	#51
6-7-72	Reply brief of James C. Barbour, Receiver.	#51
6-19-72	Filed: Objection to Suggested Fee by Receiver and Atty. on behalf of claimant, Nellie D. Miller, Phoenix, Ariz.	#51
6-20-71	Filed: Affidavit of Theodore H. Focht [SIPC], in connection with hearing set for 6-22-72.	#51
6-22-72	Filed: Affidavit of Sam J. Moore, Jr. VP Equitable Securities, Motron XXXXXXXXXX & Co., Inc. in Support of Receiver's Pet. #28	#51

DATE	PROCEEDINGS	Date Order or Judgment Noted
5-22-72	Filed: Statement and Memo. in Opposition to Affidavit and Petition of James C. Barbour, Receiver, Requesting the Court to Fix His Fee on behalf of 29 Claimants - Robt. L. Baker, Attorney.	#52
6/26/72	Filed: Certificate of Service with respect to the Affidavit of Theodore H. Focht sworn to on 6/19/72, which was filed in connection with the application by the receiver and his attorney for an award of fees.	#53
7-19-72	Entered: MEMORANDUM AND ORDER - NO. 52 (Re: Fees for Services rendered) - Attorney for Receiver, Services valued at \$50,000;.... Receiver, fee fixed at \$105,000., ...Receiver directed to disburse said fees; he will be given credit therefor in his final accounting.	#52
7-31-72	Filed: <u>Receiver's Petition No. 22</u> for Order authorizing petitioner to distribute on or after 9-5-72, 20% of original 5% reserve fund established under Order No. 22.	#53
7-31-72	Entered: <u>Order NO. 53</u> - Pursuant to Receiver's Petition, he is authorized to make additional distribution provided no objections are filed by August 20, 1972.	#53
9-18-72	Filed: NOTICE OF APPEAL from Order No. 52 entered 7-19-72, on behalf of Securities & Exchange Commission, Office of the General Counsel, 500 North Capitol Street, N. W., Washington D. C., Michael A. Macchiaroli, Attorney. Conformed copy mailed to J.Cecil Penland, Esq. SEC, Atlanta, W. Ovid Collins, Jr., and Frank S. King, Jr.	#53
10-16-72	Filed: Motion on behalf of Securities & Exchange Commission, Washington, D.C., to Extend Time for Transmitting Record on Appeal for 45 days (proposed order extending time to 12/11/72 submitted)	#53
10-20-72	Order Entered: Time for transmitting Record on Appeal from Order No. 52 extended until Dec. 11, 1972.	#53
11-21-72	Filed: JUDGMENT and OPINION of the SIXTH CCA (Genl Care Corp., Claimant-Appellant, First Amer. Natl. Bk, Intervenor), Judgment of the District Court Affirmed, Certified Record on Appeal received, acknowledged and filed.	#53
12-6-72	Filed: OCR's Transcript of Proceedings June 22, 1972 (original)	#53
12-11-72	Filed (in the 6TH CCA 12-6-72): Motion of the SEC to Stay all Steps in the Instant Appeal Pending Entry of Further Order in the District Court;... Motion Granted and entered by the Clerk (6th CCA) pursuant to Rule (4F) Sixth Circuit Rules, pending subsequent by the Dist. Ct. awarding allowances for the Period subsequent to March 31, 1972	#53

Sheet 15(a)

REPRODUCED AT NARA

DATE	PROCEEDINGS	Date Order or Judgment Noted
0-73	Entered: MEMORANDUM OF THE COURT #54 - Court holds that customers of Guaranty are not entitled to protection under the Act, (Securities Investor Protection Act), it is Ordered that SIPC be dismissed as a party to this cause. Conformed copy to attys. Collins, Focht, Macchiaroli, Penland, King and Brad Reed.	#54
2-2-73	Filed: Letter dated February 1, 1973 from John H. Faragher, Chief, Special Procedures Staff, Internal Revenue Service withdrawing Claim dated 2-7-72 in the amount of \$7209.99, accounts covered by claim have been closed by overpayment credits cc: James C. Barbour, Receiver, 170 4th Av. N., Nashville (copy mailed to W. Ovid Collins and J. Cecil Penland, attys)	
2-6-73	Filed: Motion of James C. Barbour, Receiver to amend Memorandum (and Order) No. 54 entered 1-10-73, c/s as to Hon. Wilfred R. Caron, Asst. Gen. Counsel, SIPC, and Hon. Michael A. Macchiaroli, SEC, Wash. D.C.; Memorandum in Support of Motion, c/s.	
2-8-73	Entered: ORDER NO. 55 - Memorandum entered 1-10-73 dismissing "SIPC" amended as follows: Memo. constitutes entry of final judgment as to SIPC.	#55
2-9-73	Filed: Notice of Appeal on behalf of James C. Barbour, Receiver, from so much of Order No. 54 and amended by Order No. 55 as dismissed the Securities Investor Protection Corporation as party in this cause. (\$25.00 FF in Vault)	N/A
2/13/73	Filed: Official Court Reporter's Transcript of hearing 2/22/72.	
3-1-73	Filed: Appellant's Designation of Record, c/s	
3-6-73	Filed: Petition No. 23 of James C. Barbour, Receiver - for Order authorizing him to disclaim further interest in savings acct and authorizing First American Natl. Bank of Nashville to release proceeds to Aetna Ins. Co., Exhibits 1, 2 and 3 attached.	
3-7-73	Entered: ORDER NO. 56 - Receiver authorized to disclaim all further interest in Golden Passbook Acct #0700 839 2 on deposit with First American Natl. Bank, Nash.; said bank authorized to disburse proceeds to acct of Aetna Ins. Co. or its atty. Hon. Olin White.	#56
3-22-73	Entered: ORDER NO. 57 - Upon application of appellant, James C. Barbour, Receiver, time for transmitting Record on Appeal extended to May 8, 1973. [Copy to Mr. Collins, for Receiver; Mr. Caron for SIPC, WASHINGTON, D.C.; and Mr. Macchiaroli, S&E COMM., WASH. D.C.]	#57
4/9/73	Filed: OCR transcript of hearing 2/22/73--Collings, McCullough, and Reed.	

D. C. 110A Rev. Civil Docket Continuation Sheet No. 16

REPRODUCED AT NARA

DATE	PROCEEDINGS	Date Order or Judgment Noted
4/12/73	Filed: Petition of Henry Jeremiah Juey, Jr. for authorization to join his wife in placing second mortgage on 4 acres and 154 more and/or the same of a portion of 154 acres.	
4/12/73	Entered: <u>ORDER NO. 58</u> Permitting Henry Jeremiah Juey, Jr. to join his wife in the mortgaging of 158 acres and/or sale of a portion of 154 acres in Dickson County, Tn. Copy to attys. of record	
4/27/73	Filed: Copy of letter from Ovid Collins, Jr. to Wilfred R. Caron dated April 26, 1973.	
5/7/73	Certified Record on Appeal mailed to 6th CCA; copies of CC & DE to attys. of record.	
5/8/73	OCR transcript of hearing of June 7, 1972 filed.	
5/8/73	Mailed OCR transcript to 6th CCA. Copy of letter to attys.	
✓ 3/25/74	Filed: Motion of General Care Corp. with attachments. C/S	
✓ 3/25/74	Filed: Brief in Support of Motion of General Care Corp. C/S	
3/27/74	Entered: Ordered that Receiver will file responding brief to motion of General Care Corp. filed 3/25/74 within 10 days of date of entry of this order. copy to attys.	
✓ 4/5/74	Filed: Brief of James C. Barbour, Receiver, in Response to Motion of General Care Corporation.	
✓ 4/8/74	Filed: Brief of First American National Bank of Nashville in Response to Motion of General Care Corp.	
✓ 4/10/74	Entered: Ordered that as to that portion of captioned cause which deals with motion filed by General Care Corp. on 3/25/74, Judge Morton recuses himself. copy to attys.	
5/22/74	Filed: Mandate issued 5/20/74. Copy of opinion and certified record from 6th Cir. Judgment of District Court reversed and cause remanded for further proceedings. Further that Appellant recover from Appellee costs on appeal and that execution therefor issue out of said District Court.	
10/24/74	Filed: Petition No. 24 of James C. Barbour, Receiver. Copy to attys.	
10/24/74	Entered: Order No. 59 authorizing the receiver to surrender for redemption the 500 shares of Davidson, Inc. provided no objection is filed herein by any interested party within ten days. Copy to attys.	
6/26/75	Filed: Judgment from Supreme Court: judgment of the U. S. Court of Appeals is reversed with costs; cause remanded to District Court for	

DATE	PROCEEDINGS	Date Order or Judgment Noted
	for the Middle District of Tennessee with instructions that the receiver's petition for an order to show cause be dismissed. Further ordered that the said petitioner, Securities Investor Protection Corporation, recover from James C. Barbour, et al. \$2,134.41 for its costs herein expended.	
5/30/75	Entered: Order: As per the mandate of the Supreme Court of the United States, the petition of the receiver to require Securities Investor Protection Corporation to show cause is hereby dismissed. Copy to attorneys.	
7/18/75	Filed: Receiver's Interim Report. Copy to attorneys.	
8/1/75	Letter from Ed R. Davies to Clerk to remove his name from list of attorneys and substitute Robert Thomas.	
9/25/75	Filed: Letter to Judge Gray from representatives of General Care Corp. dated 9/25/75	
9/25/75	Filed: Supplemental Brief of James C. Barbour, Receiver, in Response to Reply Brief of General Care Corp. C/S	
9/25/75	Filed: Reply Brief of General Care Corp. C/S	
9/25/75	Filed: Stipulation	
9/29/75	Filed: Appendix to Brief for Claimant-Appellant, General Care Corp. in U.S. Court of Appeals.	
10-29-75	Entered: <u>ORDER NO. 60</u> The Court is of the opinion that First American National Bank has no liability to General Care Corp. under Tennessee Code Annotated Sec.26-636. That portion of General Care's motion pertaining to First American is, therefore, DENIED, and the remaining claims contained therein are, of course, returned to Judge Morton for his consideration. Copies to attys. of record.	
3/1/76	Entered: Order that the claim of General Care Corporation is set for oral argument on the 15th day of March, 1976, at 8:30 a.m. The Receiver shall attend. Copy to attorneys. <u>ORDER NO. 61</u>	
3-10-76	Filed: Motion to Rest behalf of Receiver, to reset the oral argument of the claim of Gen. Care Corp. on some date other than March 15, 1976. Affidavit of counsel attached. C/S	
3-10-76	Entered: Order Granting Motion to reset. Case reset to March 22, 1976 at 8:30 A.M. Copies to attys. of record. <u>ORDER No. 62</u>	
4/2/76	Filed: Supplemental Brief of General Care Corp. C/S	
4/6/76	Filed: Response of Receiver to Supplemental Brief of General Care Corp. C/S	

PLAINTIFF		DEFENDANT	DOCKET NO. 5989
SECURITIES & EXCHANGE COMM.		GUARANTY BOND & SEC. CORP.	PAGE ____ OF ____ PAGES
DATE	NR.	PROCEEDINGS	
4/9/76		Filed: Receiver's Supplemental Response. C/S	
6-10-76		Entered: Memorandum (Claim of General Care Corp.). Copy to Attys.	
6-10-76		Entered: Order that claim of General Care Corp. shall be Designated as Unsecured & General Care Corp. shall participate in distribution of assets of Def. as a general Creditor. Copy to attys.	
9/14/76		Filed: RECEIVER'S PETITION NO. 25. FOR AUTHORIZATION TO DISCONTINUE EFFORTS TO OBTAIN BENEFITS OF SECURITIES INVESTOR PROTECTION ACT AND TO CONCLUDE RECEIVERSHIP	
9-21-76		Entered: Order Granting REceiver's Petition filed 9-14-76. Copy to Attys.	
1/18/77		Filed: Receiver's Petition No. 26 Reporting Current Status of Receivership, Requesting Allowance of Final Fee to Attorney and Seeking Authorization to Make Final Distribution.	
2-4-77		Filed: Notice of Hearing set for Tues. March 8, 1977. C/S Hearing held under the Receiver's Petition No. 26.	
2/14/77		Filed: Memorandum of the Securities and Exchange Commission Opposing the Grant of Additional Fees to Counsel for the Receiver. C/S	
2-15-77		ENTERED: Order resetting hearing to Thursday, March 24, 1977, at 8:30 a.m., in Courtroom No. 2. Cys fwy per attached list of attorneys in file with order.	
3/23/77		Filed: Reply to Memorandum of Securities and Exchange Commission Opposing Allowance of Fee to Receiver's Attorney. C/S	
3/24/77		Filed: Clerk's Resume of Court Proceedings	
4-8-77		Entered: Order No. 63 Authorizing Final Distribution. Copy to attys.	
4-8-77		Entered: Memorandum and Order No. 64 Awarding Final Fee To Receiver's Attorney. Copies to attys of record.	
4-11-77		Entered: The memorandum heretofore entered in this cause fixing the fee of attorney W. Ovid Collins, Jr. at \$25,000.00 is hereby amended to read \$16,500.00 as additional compensation. Although the court feels that the attorney has earned and is entitled to an additional fee of \$25,000.00, the attorney only asked for \$16,500.00, and the amount is so reduced. A judgment awarding said fee will be prepared by W. Ovid Collins, Jr, attorney, and submitted to the court for entry. Copies to attys of record.	
4-14-77		Entered: Order No. 65, ORDERED that the final fee of Collins is fixed at \$16,904.76 and the Receiver is authorized and directed to disburse this sum to him from the funds in his hands. Copy to attys.	

FIL DOCKET CONTINUATION SHEET

PLAINTIFF	DEFENDANT	DOCKET NO. <u>5989</u> PAGE ____ OF ____ PAGES
SECURITIES & EXCHANGE COMM.	GUARANTY BOND	

DATE	NR.	PROCEEDINGS
3/3/77		Filed: Letter from Belle G. Tyson in re future correspondence to be sent to her directly instead of former attorney Reese Allred, Jr. (Copy to Ovid Collins)
3/24/77		Filed: Letter from Ed R. Davies: No longer represents any parties. In lieu of his name, substitute Robert Thomas at Boulton, Cummings, Connors & Berry. (Copy to Ovid Collins)
5/20/77		Filed: Notice of Motion to Dismiss Appeal from Order No. 52. C/S
5/20/77		Filed: Motion to Dismiss Appeal from Order No. 52 behalf SEC. C/S
5/25/77		Entered: Order granting Motion to Dismiss Appeal from Order No. 52. Copy to all parties.
11/10/77		Filed: Receiver's Petition No. 27 Reporting Status of Final Distribution and Requesting Authorization to Dispose of Customer Files.
5-24-78		FILED: Receiver's Petition No. 28 for Instructions as to Disposition of Remaining funds and Entry of Final Order of Discharge. filed by Atty Omer. Exhibit one attached.
11-18-77		ENTERED: AUTHORIZING DISPOSITION OF CUSTOMER FILES ORDER <u>NO. 66</u> .
5-24-78		ENTERED: ORDERED the receiver will file with the Clerk of the Court a list of the 10 outstanding checks and pay the sum of \$432.42 into the registry of the Court; the receiver will pay the remaining funds to Mrs. Frances Mowrey as payment for storage and maintenance of the receivership file. This case is closed. <u>ORDER-NO. 67</u> .
6-13-78		FILED: List of Outstanding Checks filed by W. Ovid Collins, Jr.
12-2-83		PETITION of Religious-Institutional Finance Corp. of America. w/attachments. bv
12-7-83		ORDER: It is ORDERED that Religious-Institutional Finance Corp. of America is hereby authorized to receive from First American National Bank of Nashville, Nashville, TN, all funds that the bank is holding in trust for Religious-Institutional Finance Corp. of America in the bank's accounts #82042375 and #82042384. <u>Case Notice No. 68</u> bv